

**Completer Impact Transition Plan**

<b>Relationship to Standard or Component</b>			
<b>CAEP Standard Addressed in Plan</b>	R4 Program Impact R4.1 Completer Effectiveness R4.3 Satisfaction of Completers		
<b>Description of Evidence/Data We Plan to Collect</b>	<p>The impact of the EPP’s completers on P-12 student learning and development is of primary importance to the EPP. We implemented a case study approach in 2019-2020. Unfortunately, the COVID pandemic created some challenges with this approach. We were able to conduct case studies during the 2020-2021 and 2021-2022 academic years but struggled both years finding completers willing to participate in the case study process. We believe the additional stress from the pandemic has made educators more hesitant to agree to extra</p> <p>We are currently planning a three pronged approach to gathering data on completer impact:</p> <ol style="list-style-type: none"> <li>1) Revise and continue using our current case study approach to provide an overall picture of completer impact.</li> <li>2) PILOT Case Study - Complete pilot of a longitudinal case study approach targeted to early childhood and elementary candidates.                             <ol style="list-style-type: none"> <li>a) EPP faculty member serves as the participants’ University Supervisor for senior practicum and student teaching.</li> <li>b) The same faculty members will observe these participants in their first and second years of teaching.</li> <li>c) Data collection will include the MEES, EDA, first year teacher survey, and interviews.</li> </ol> </li> <li>3) Replicate pilot of focus groups to gather more wide scale data.                             <ol style="list-style-type: none"> <li>a) The focus groups will include both immediate completers and those in their first year of teaching.</li> <li>b) Focus group questions will be revised to gather more data of impact on P-12 learners.</li> </ol> </li> </ol>		
<b>Timeline and Resources</b>			
<b>Timetable of Data Collection by semester or calendar year</b>	<b>Strategy for Collecting the Data (steps for how this will be accomplished)</b>	<b>Personnel Responsible</b>	<b>Resources need including personnel, technology and access to data compilation</b>
Late Summer/Early Fall 2022	Case Study Workgroup meet to review process from 2021-22, make revision, and to recruit participants for 2022-23. Submit to IRB if necessary.	Case Study Workgroup Quality Assurance Workgroup	Personnel

Early Fall 2022	Case study - Contact principals and teacher participants. Hold informational meetings. Secure informed consent.	Case Study Workgroup	Personnel
	Focus Group - Convene planning team. Plan recruitment strategy and determine timeline for focus groups. Secure IRB approval.	Quality Assurance Workgroup Focus Group Team	Personnel
Fall 2022	Case Study - Initial observations.	Case Study Workgroup	Personnel Technology Data Compilation
	Implement pilot case study. Observe senior practicum, student teachers, and first year teachers.	Pilot Case Study Faculty	Personnel Technology Data Compilation
Late Fall 2022	Focus Groups - Hold focus group with Fall 2022 completers. Hold focus group with first year teachers.	Focus Group Team	Personnel Technology Data Compilation
Spring 2023	Case Study - Conduct classroom observations. Conduct interview.	Case Study Workgroup	Personnel Technology Data Compilation
	Pilot Case Study - Conduct classroom observations. Conduct interviews.	Pilot Case Study Faculty	Personnel Technology Data Compilation
	Focus Group - Hold focus group with Spring 2023 completers. Hold focus group with first year teachers.	Focus Group Team	Personnel Technology Data

			Compilation
Summer 2023	Focus Groups, Case Study and Pilot Case Study - Analyze data collected in fall and spring semesters. Share with COE Coordinator of Data Management and Technology and Quality Assurance Workgroup.	Case Study Workgroup Pilot Case Study Faculty Focus Group Team COE Coordinator of Data Management and Technology Quality Assurance Workgroup	Personnel Technology Data Compilation
<b>Data Quality</b>			
Provide a copy of the data collection instrument if available; if not, steps above should include instrument development in the strategy, timeline above.	<p>The Case Study process is included in the Case Study Handbook (see R4.1.1Case Study Handbook). Revisions to the data collection process are part of this transition plan.</p> <p>The Focus Group questions will be revised as part of the transition plan.</p> <p>The Pilot Case Study is described in the IRB application copied below.</p>		
How will the quality of the data collection/survey/ rubric be assured to meet the “sufficient” level on the CAEP Assessment Rubric?	The QAW will apply the CAEP criteria for data collection when the revised plans are in place. Feedback will be provided to each workgroup.		
What steps will be taken to attain a representative response (i.e., how will the data sample be selected, what actions will be taken to ensure a high response rate if a survey is used, etc.)?	<p>Case Study - All completers will be invited to participate. A random sample of those will be selected for participation.</p> <p>Focus Groups - All completers and first year teachers will be invited to participate. A random sample of those will be selected for participation.</p> <p>Pilot Case Study - Participants are selected randomly from the pool of Early Childhood and Elementary Education candidates prior to their senior practicum.</p>		

Plan for Implementation of the EPP Diversity Survey

Relationship to Standard or Component	
<b>CAEP Standard Addressed in Plan</b>	R1 Content and Pedagogical Knowledge Component 1 - Learners and Learning Specifically, we seek to collect data of our candidates' efficacy in working with diverse P-12 students and their families.
<b>Description of Evidence/Data We Plan to Collect</b>	In spring 2022, the EPP's Diversity Workgroup recommended the EPP administer a survey on the topic of Diversity to candidates at entry point, mid point and exit point of the degree program. In addition, the Diversity Workgroup recommended the adoption of the Culturally Responsive Teaching Self-Efficacy Scale (CRTSE) and Culturally

	<p>Responsive Teaching Outcome Expectancy Scale (CRTOE) (Siwatu, 2007) as two research-based scales as main components of the survey. This recommendation was adopted by the Teacher Education Council.</p> <p>We received permission from the creator of the CRTSE/CRTOE for use in our EPP. The CRTSE and CRTOE scales will comprise part of the EPP Diversity Survey. In addition, two open ended prompts will be included to assess candidates' perceptions related to preparation experiences for teaching diverse students. "Please describe experiences you think make you particularly prepared to work with diverse students and their families" and "Please describe experiences you think make you particularly unprepared to work with diverse students and their families".</p> <p>The CRTSE is a 40-item Likert scaled instrument used to gather data from preservice teachers on their efficacy in implementing teaching practices associated with using culturally responsive pedagogy, such as meeting individual learning needs, differentiating instruction, working with English Language Learners, etc. The 26-item CRTOE is used to gather data from preservice teachers about their belief that positive classroom and student outcomes are realized when teachers implement culturally responsive teaching practices. Each scale has evidence of strong reliability and validity from multiple research studies (Siwatu, 2007; Siwatu, 2011; Snider, 2015).</p>
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**Timeline and Resources**

<b>Timetable of Data Collection by semester or calendar year</b>	<b>Strategy for Collecting the Data (steps for how this will be accomplished)</b>	<b>Personnel Responsible</b>	<b>Resources need including personnel, technology and access to data compilation</b>
Fall 2022	Determine the format to be used to collect data (Google form, paper, etc.) Use this format to create the assessment to be used.	Diversity Workgroup	Personnel Technology
Fall 2022	Meet with foundations faculty, junior year faculty, and student teaching coordinator to plan for administration at entry, mid, and exit point of degree.	Diversity Workgroup Student Teaching Coordinator Foundations Faculty Clinical Services Director Program Coordinators EPP Data Coordinator College Assessment Committee Chair	Personnel
Fall 2022	Pilot instrument with candidates enrolled in coursework at the entry, mid, and end of their programs.	Diversity Workgroup Student Teaching Coordinator Foundations and Clinical Faculty	Personnel

		Clinical Services Director Program Coordinators EPP Data Coordinator College Assessment Committee Chair	
Spring 2023	Initial analysis of data and review of implementation plan. Revisions will be made as needed.	Diversity Workgroup EPP Data Coordinator	Personnel Data compilation Technology
Ongoing semesters	Collect data at entry, mid, and exit levels.	Diversity Workgroup Foundations and Clinical Faculty Clinical Services Director Student Teaching Coordinator	Personnel Data compilation Technology
Ongoing semesters	Analysis of data	Diversity workgroup EPP Data Coordinator College Assessment Committee Chair	Personnel Data compilation Technology
<b>Data Quality</b>			
Provide a copy of the data collection instrument if available; if not, steps above should include instrument development in the strategy, timeline above.	We have received permission to use items from the Culturally Responsive Teaching Self-Efficacy Scale and Culturally Responsive Teaching Outcome Expectancy Scale (Siwatu, 2007). The EPP’s Diversity Workgroup will determine the format to be used with our candidates and any additional items to include after the pilot year.		
How will the quality of the data collection/survey/ rubric be assured to meet the “sufficient” level on the CAEP Assessment Rubric?	The EPP’s Quality Assurance Workgroup will use the CAEP Sufficiency Criteria to assess the tool and implementation plan. Recommendations of required changes will be presented to the EPP’s Diversity Workgroup.  Information about the scale may be found here: Siwatu, K. O. (2007). Preservice teachers’ culturally responsive teaching self-efficacy and outcome expectancy beliefs. <i>Teaching and Teacher Education</i> , 23, 1086-1101.		
What steps will be	All candidates in the EPP will be assessed at the entry, mid, and exit levels. Because the assessment will be		

<p>taken to attain a representative response (i.e., how will the data sample be selected, what actions will be taken to ensure a high response rate if a survey is used, etc.)?</p>	<p>embedded in coursework, a high response rate is assured.</p>
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Expedited / Full Review Protocol  
Institutional Review Board - Human Subjects

**SECTION A: General Information**

<b>Principal Investigator (PI):</b>	Dr. Karen Loman
<b>Classification:</b>	expedited
<b>Department:</b>	ECEL
<b>UCM 700-Number:</b>	700049703
<b>University Email:</b>	loman@ucmo.edu
<b>Phone Number:</b>	816-863-4453
<b>CITI Training Completed:</b>	Yes
<b>Co-Investigator(s):</b>	Dr. Nicole Nickens, Dr. Ann McCoy, Dr. Julie Schmidli, Dr. Janet Richards
- If you are UCM faculty, you may skip to the next section -	
<b>Faculty Advisor's Name:</b>	Click or tap here to enter text.
<b>Faculty Advisor's Email:</b>	Click or tap here to enter text.

**Check the appropriate boxes below to indicate characteristics of your potential subjects.**

Population	Not Included	May be Included	Targeted
Minors (under age 18)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pregnant	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Women of Childbearing Age	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Institutionalized Persons	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cognitively Impaired Persons	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Low Income	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Ethnic/Racial Minority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Individuals over age 65	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

By checking this box, the Principal Investigator (PI) certifies that s/he has not begun recruiting or testing research participants and will not do so until a formal notification of approval has been received from this IRB.



## SECTION B: Review Category

### FOR EXPEDITED REVIEWS

Check a category below that accurately describes your research below

#### CATEGORY 1 – Drug and Medical Device Research

Clinical studies of drugs and medical devices only when condition (i) or (ii) is met.

- (i)  Research on drugs for which an investigational new drug application (21 CFR Part 312) is not required. (Note: Research on marketed drugs that significantly increases the risks or decreases the acceptability of the risks associated with the use of the product is not eligible for expedited review).
- (ii)  Research on medical devices for which (i) an investigational device exemption application (21 CFR Part 812) is not required; or (ii) the medical device cleared/approved for marketing and the medical device is being used in accordance with its cleared/approved labeling.

#### CATEGORY 2 – Research Involving Blood Samples

Collection of blood samples by finger stick, heel stick, ear stick, or venipuncture as follows:

- (i)  From healthy, nonpregnant adults who weigh at least 110 pounds. For these participants, the amounts drawn may not exceed 550 ml in an 8-week period and collection may not occur more frequently than 2 times per week; or
- (ii)  From other adults and children<sup>1</sup> considering the age, weight, and health of the participants, the collection procedure, the amount of blood to be collected, and the frequency with which it will be collected. For these participants, the amount drawn may not exceed the lesser of 50 ml or 3 ml per kg in an 8-week period and collection may not occur more frequently than 2 times per week.

#### CATEGORY 3 – Research Involving Biological Specimens

Prospective collection of biological specimens for research purposes by noninvasive means.

Examples: (a) hair and nail clippings in a non-disfiguring manner; (b) deciduous teeth at time of exfoliation or if routine patient care indicates a need for extraction; (c) permanent teeth if routine patient care indicates a need for extraction; (d) excreta and external secretions (including sweat); (e) uncannulated saliva collected either in an unstimulated fashion or stimulated by chewing gum base or wax or by applying a dilute citric solution to the tongue; (f) placenta removed at delivery; (g) amniotic fluid obtained at the time of rupture of the membrane prior to or during labor; (h) supra- and subgingival dental plaque and calculus, provided the collection procedure is not more invasive than routine prophylactic scaling of the teeth and the process is accomplished in accordance with accepted prophylactic techniques; (i) mucosal and skin cells collected by buccal scraping or swab, skin swab, or mouth washings; (j) sputum collected after saline mist nebulization.

#### CATEGORY 4 – Research Involving Noninvasive Data Collection

Collection of data through noninvasive procedures (not involving general anesthesia or sedation) routinely employed in clinical practice, excluding procedures involving x-rays or microwaves. Where medical devices are employed, they must be cleared/approved for marketing. (Studies intended to evaluate the safety and effectiveness of the medical device are not generally eligible for expedited review, including studies of cleared medical devices for new indications.)

Examples: (a) physical sensors that are applied either to the surface of the body or at a distance and do not involve input of significant amounts of energy into the subject or an invasion of the subject's privacy; (b) weighing or testing

sensory acuity; (c) magnetic resonance imaging; (d) electrocardiography, electroencephalography, thermography, detection of naturally occurring radioactivity, electroretinography, ultrasound, diagnostic infrared imaging, doppler blood flow, and echocardiography; (e) moderate exercise, muscular strength testing where appropriate given the age, weight, and health of the individual.

**CATEGORY 5 – Non-research or Research Involving Archived Data**

Research involving materials (data, documents, records, or specimens) that have been collected, or will be collected solely for nonresearch purposes (such as medical treatment or diagnosis). (NOTE: Some research in this category may be exempt from the HHS regulations for the protection of human participants. 45 CFR 46.101(b)(4). This listing refers only to research that is not exempt.)

**CATEGORY 6 – Research Involving Audio or Video Recordings**

Collection of data from voice, video, digital, or image recordings made for research purposes.

**CATEGORY 7 – Psychological, Sociological, or Behavioral Research**

Research on individual or group characteristics or behavior (including, but not limited to, research on perception, cognition, motivation, identity, language, communication, cultural beliefs or practices, and social behavior) or research employing survey, interview, oral history, focus group, program evaluation, human factors evaluation, or quality assurance methodologies. (NOTE: Some research in this category may be exempt from the HHS regulations for the protection of human participants. 45 CFR 46.101(b)(2) and (b)(3). This listing refers only to research that is not exempt.)

**CATEGORY 8 – Continuing Review of Previously Approved Research**

Continuing review of research previously approved by the convened IRB as follows:

- (i)  where (i) the research is permanently closed to the enrollment of new participants; (ii) all participants have completed all research-related interventions; and (iii) the research remains active only for long-term follow-up participants; or
- (ii)  where no participants have been enrolled and no additional risks have been identified; or
- (iii)  where the remaining research activities are limited to data analysis.

**CATEGORY 9 – Continuing Review of Drug or Medical Device Research**

Continuing review of research, not conducted under an investigational new drug application or investigational device exemption where categories two (2) through eight (8) do not apply but the IRB has determined and documented at a convened meeting that the research involves no greater than minimal risk and no additional risks have been identified.

**FULL BOARD REVIEW:**

Any research or training project involving the use of human participants which does not fall into an exempt or expedited review category must be submitted for full board IRB review. Research involving more than minimal risk requires full board review.

**SECTION C: Project Details**

## OVERVIEW

1. Project Title: ECEL Case Study CAEP Standard 4: Program Impact through second-year teaching

2. Describe the purpose of your project (500 words or less).  
Include goals, rationale, and relevant background information.  
Please use language that may be understood by persons unfamiliar with this area of study.

This case study will be in support of the Council for the Accreditation of Educator Preparation (CAEP) accreditation of the elementary and early childhood education (ECEL) program in the College of Education, specifically Standard 4: Program Impact. All providers seeking accreditation through the CAEP must complete a program review to examine the content and efficacy of preparation of teachers. The purpose of this project will be to examine the content and efficacy of the ECEL program by collecting and examining observation and disposition data for senior 1 and student teachers. Collect and examine observation, disposition, and satisfaction data for first- and second-year teachers, and their employers, who graduated from the ECEL program.

3. What is/are your research question(s)?

1. Do the graduates of the ECEL program
  - A. effectively contribute to P-12 student-learning growth (as measured by \*Missouri Educator Evaluation System [MEES])
  - B. apply in P-12 classrooms the professional knowledge, skills, and dispositions the preparation experiences were designed to achieve (as measured by \*MEES and \*Educator Disposition Assessment [EDA])?
2. Are employers of the graduates with elementary and early childhood degrees satisfied with the completers' preparation for their assigned responsibilities in working with diverse P-12 students and their families?
3. Do graduates of the ECEL program perceive their preparation as relevant to the responsibilities they encounter on the job their first and second year teaching, and their preparation was effective?

\*documents observe/record teacher behaviors, not P12 student data

4. What is/are your hypothesis/hypotheses?

1. The graduates of the ECEL program
  - A. effectively contribute to P-12 student-learning growth AND
  - B. apply in P-12 classrooms the professional knowledge, skills, and dispositions the preparation experiences were designed to achieve.
2. The employers of the graduates with elementary and early childhood degrees are satisfied with the completers' preparation for their assigned responsibilities in working with diverse P-12 students and their families.
3. The graduates of the ECEL program perceive their preparation as relevant to the responsibilities they encounter on the job their first and second year teaching, and their preparation was effective.

5. What do you plan to do with the results of your study (e.g. publish, present at a conference, etc.)?  
If this project is only for an internal evaluation or class assignment, IRB may not be required. Please contact the Human Subjects Committee for more information.

The results of this study will be in support of the Council for the Accreditation of Educator Preparation report for the College of Education. The investigators may publish and/or present findings.

### FUNDING

6. Is this research currently, or do you intend for it to be, funded in whole or part by an external (non-UCM) grant or contract?  YES  NO

**IF YES:**

i. Is there a completed FCOI on record with the Office of Sponsored Programs?  YES  NO

ii. Provide the following

- Sponsor Name: Click or tap here to enter text.
- PI on Grant: Click or tap here to enter text.
- Grant Title/Contract: Click or tap here to enter text.
- Estimated Project Period:
  - o From: Click or tap here to enter text.
  - o To: Click or tap here to enter text.

iii. Copy of Grant Application or Project Summary is Attached  YES  NO

### PARTICIPANT POPULATION

7. Describe the participant population you will target for this research (e.g., sex, age range, ethnic background, health status, or other targeted demographics).

Participants will begin as undergraduate seniors in the ECEL programs who will become first- and second-year teacher graduates from the program. Participants will be randomly selected prior to their senior year. Demographics will vary depending upon the selection of participants and the graduates who consent to participate.

8. How many participants will you need to complete your study? approximately 15

### RECRUITMENT

9. Describe your recruitment process. Include how, where, when, and who will contact potential research participants.

First- and second-year teachers who graduated from the ECEL program and completed their senior 1 block and their student teaching semesters in Raytown, MO will be recruited at the end of student teaching.

10. Attach all applicable recruitment materials. Check all that apply.

Recruitment Scripts  Letter/Cover Letter

<input type="checkbox"/> Flyers <input type="checkbox"/> Advertisements	
<input checked="" type="checkbox"/> Recruitment Emails <input type="checkbox"/> Other: <a href="#">Click or tap here to enter text.</a>	
11. Will you be directly emailing or mailing participants?	<input type="checkbox"/> NO <input checked="" type="checkbox"/> YES
<b>IF YES</b> , how are you obtaining emails and/or mailing addresses? participants are undergraduate ECEL students; their email addresses are available	
UCM email address	
12. Will participants be compensated for their participation?	<input checked="" type="checkbox"/> NO <input type="checkbox"/> YES
IF YES, describe how participants will be compensated – include the amounts and method of distribution:	
N/A	
<b>RISKS &amp; BENEFITS</b>	
13. What are the <u>risks</u> and inconveniences to the participants? Describe all known anticipated psychological, physical, sociological, financial, economic risk to participants. Examples include, but are not limited to: loss of confidentiality, identifiable links to individual participants, experiencing guilt for lying in a study requiring deception, emotions distress, physical injury or discomfort.	
There is a limited time commitment	
14. How will you minimize these risks and their impact to the participants?	
Data collection tools will be the same tools used throughout their undergraduate degree program: Missouri Educator Evaluation System (MEES), Educator Disposition Assessment (EDA), and DESE surveys. Additionally phone interviews, to be completed at their convenience, will be administered to first- and second-year teachers and their employers.	
15. Describe your plan for an emergency situation. Even if you feel this situation is unlikely, please have a plan in case of emergency (e.g., the researcher will carry a cell phone, etc.).	
In case of emergency, participants will contact the primary investigator who will have access to a cell phone at all times.	
16. Describe the potential benefits to your participants and/or society.	
Participants will receive course and on-the-job feedback to improve their practice. The College of Education will use data collected to review programs and reach CAEP accreditation.	
<b>METHOD OF DATA COLLECTION</b>	
17. Check all that apply. <i>Attach copies of all data collection tools to be used.</i>	
<input checked="" type="checkbox"/> Questionnaire/Survey <input checked="" type="checkbox"/> Observations <input type="checkbox"/> Other: <a href="#">Click or tap here to enter text.</a>	<input checked="" type="checkbox"/> Interviews (attach scripts, questions) <input checked="" type="checkbox"/> Existing Data

18. Indicate all biomedical procedures that apply to your research:

- |   |   |
|---|---|
| <input type="checkbox"/> Physical Activity  | <input type="checkbox"/> Body Mass Index                |
| <input type="checkbox"/> Venipuncture   | <input type="checkbox"/> X-rays                         |
| <input type="checkbox"/> Magnetic resonance imaging (MRI)   | <input type="checkbox"/> Anthropomorphic evaluations    |
| <input type="checkbox"/> Electrocardiograms (EKGs)  | <input type="checkbox"/> Intravenous catheter insertion |
| <input type="checkbox"/> Collection of blood samples by finger stick, heel stick, ear stick or venipuncture |   |
| <input type="checkbox"/> Other: <a href="#">Click here to enter text.</a>                                   |   |

19. If applicable, describe any procedures being performed already for diagnostic or treatment purpose.

N/A

20. Describe the research methods or procedures you will use to collect your data.

That is, what exactly are your participants going to do?

Your response should include a step-by-step description of each procedure, including the frequency and duration of each procedure. If analyzing existing data, describe how you will obtain and analyze these data.

As part of their ECEL coursework:

-senior 1 students are observed using MEES six times a semester and EDA once a semester

-student teachers are observed using MEES five times a semester, EDA is completed at the end of the semester, and a MO Student Teacher Survey is administered at the end of the semester

The data collected during their senior 1 and student teaching semester will provide a baseline for completer and employer satisfaction observations and surveys.

First- and Second-year teacher participants will be observed by investigators using MEES twice a semester and EDA will be completed once a year.

First- and Second-year teacher participants will complete a MO Teacher online survey and a 15-minute interview once a year.

Employers of the First- and Second-year teacher participants will complete a MO Admin First Year Teacher online survey and a 15-minute interview once a year.

21. Where will the study take place? I.e., where will participants be observed, complete surveys, etc.?

The senior 1 and student teaching participants will be placed and observed at Little Blue Elementary School in Raytown, MO.

First- and second-year teacher participants will be observed in the school/district of hire, complete a MO First Year Teacher online survey, and a 15-minute interview over the phone.

Employers of the First- and Second-year teacher participants will complete an MO Admin First Year Teacher online survey and a 15-minute interview over the phone.

22. Does your study include plans to recruit participants from or collect data at an external site?

NO     YES

(i.e., off UCM campus – for example, at an elementary school, hospital, etc.)

IF YES, name and describe the external site(s) below.

*You must also attach a written acknowledgement indicating that you have permission to use the named facility and/or personnel.*

Participants will be working in elementary schools. UCM teacher candidates participating in the study will be completing their clinical semesters (senior 1 and student teaching) at Little Blue Elementary, Raytown MO. Other schools TBD; permission will be granted upon agreement of employers of the First- and second-year teacher participants and their employers.

### INFORMED CONSENT

*The consent document(s) must contain all the required elements of consent. We recommend you use the appropriate template(s) available on the UCM website.*

23. How will you obtain consent?

Describe your process for obtaining informed consent from your participants – include how, when, and where the consent process will take place, and who will collect it.

The primary investigator will obtain consent from the graduating student teachers before the end of the semester. The primary investigator will obtain consent from each employer hiring one of the ECEL graduates at their school site, prior to the beginning of the school year.

24. Which of the following will you use to present the informed consent? (*Attach all.*)

Paper Consent Form

Web-based Consent Form

Cover Letter

Verbal Consent Script

Minor's Assent Form (Must also include Parental Consent)

Parental Consent Form (Must also include Minor's Assent)

Other: [Click or tap here to enter text.](#)

25. Will you inform your participants of the full nature and purpose of your study before (during consent) or after (during debriefing) they complete your study?

Before - During Consent

After - During Debriefing

26. Will non-English-speakers be included in your study?

NO  YES

*IF YES, include translated versions of your consent documents.*

### PARTICIPANT PRIVACY & CONFIDENTIALITY

27. Describe any procedures you will use to protect the privacy of your participants during data collection.



*(E.g., participants will complete surveys in the privacy of their own homes; interviews will be performed at a location of their choosing, etc.)*

First- and second-year teacher participants and their employers will complete surveys in the privacy of their own homes; interviews will be conducted by phone at the time of their choosing. De-identified data from this study may be shared with the research community at large to advance CAEP accreditation.

28. During data collection, will you collect or have access to identifiable information about your participants?

NO – Data collection will be anonymous *(The investigators will not collect or have access to identifiable information about the study's participants)*

YES – Data collect will be confidential *(The investigators will collect or have access to identifiable information about the study's participants)*

29. How will you handle identifiable information?

Identifiable information will not be collected

Identifiable information will be coded, and investigators will not have access to a code key

Identifiable information will be coded, and investigators will have access to a code key

Identifiable information will be collected and will be de-identified for analyses

Identifiable data will be collected and will remain identifiable for analyses

30. How will the collected data be secured?

Locked in a cabinet or office

Password protected PC, hard disk drive, or other secure electronic storage

Encrypted online or cloud storage

All data will be destroyed (shredded/deleted/etc.) after use

Other: Click or tap here to enter text.

31. Who will have access to the data?

Investigators will have access to the data.



## Section D: Principal Investigator and Faculty Advisor Agreement

*I certify that the information provided in this application is complete and accurate. As the principal investigator, I have ultimate responsibility for the conduct of this study, the ethical performance of the project, the protection of the rights and welfare of human participants, and strict adherence to any stipulations designated by the IRB. I accept and will conform to all federal, state, and institutional provisions concerning the protection of human participants in research. I will ensure all personnel involved in the research will be appropriately trained for all procedures used in this project.*

*I agree to conduct the research involving human participants as presented in this protocol application as approved by the University of Central Missouri's Institutional Review Board (IRB), and am qualified to perform the procedures described herein. I will submit any proposed changes/modifications for review and approval before they are implemented. I agree to notify the IRB and the Research Compliance Officer of any adverse events that may occur during the study. I also assure that I will follow through with the storage and destruction of data as outlined in the protocol. I understand that the University of Central Missouri owns the research data. If I choose to transfer to another institution, I will need departmental approval to take the data with me.*

*If a student researcher, I additionally certify that my faculty advisor has an electronic copy of this application as submitted. My advisor has agreed to:*

- *Oversee this research by communicating regularly with me;*
- *Assist with the resolution of any problems or concerns encountered during the research;*
- *Assure my research complies with Human Subjects Regulations in the Code of Federal Regulations*
- *Assure that the UCM IRB is notified in the event of an adverse event or protocol deviation.*

**Please note:**

*Failure to work with your advisor as described above will be considered a breach of professional ethics which falls under the academic honesty policy. The consequences of violating standards of academic honesty are extremely serious, costly and may result in the loss of academic and career opportunities.*

**X By checking this box, I certify that I have read and agree to the agreement above**

**Principal Investigator (Print Name):** Dr. Karen Loman **Date:** March 4, 2022

**If an unanticipated problem or adverse event should occur, you must immediately complete and submit the IRB Incident Report Form to [ResearchReview@ucmo.edu](mailto:ResearchReview@ucmo.edu) and contact 660 542 8562.**